

Translation

## PATENT COOPERATION TREATY

# PCT

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

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| Applicant's or agent's file reference<br><b>P32846-PO</b>                                | FOR FURTHER ACTION   | See Form PCT/IPEA/416  |
| International application No.<br><b>PCT/JP2004/004096</b>                                | International filing date ( <i>day/month/year</i> )<br><b>24.03.2004</b> | Priority date ( <i>day/month/year</i> )<br><b>21.04.2003</b> |
| International Patent Classification (IPC) or national classification and IPC<br><br><br> |  |  |
| <b>Applicant</b><br><b>MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD.</b>                      |  |  |

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|---|
| 1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.  |
| 2. This REPORT consists of a total of <u>4</u> sheets, including this cover sheet.  |
| 3. This report is also accompanied by ANNEXES, comprising: <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> (<i>sent to the applicant and to the International Bureau</i>) a total of _____ sheets, as follows:           <ul style="list-style-type: none"> <li><input type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</li> <li><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</li> </ul> </li> <li>b. <input type="checkbox"/> (<i>sent to the International Bureau only</i>) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</li> </ul> |
| 4. This report contains indications relating to the following items: <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Box No. I Basis of the report</li> <li><input type="checkbox"/> Box No. II Priority</li> <li><input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li><input type="checkbox"/> Box No. IV Lack of unity of invention</li> <li><input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li><input type="checkbox"/> Box No. VI Certain documents cited</li> <li><input type="checkbox"/> Box No. VII Certain defects in the international application</li> <li><input type="checkbox"/> Box No. VIII Certain observations on the international application</li> </ul>   |

|   |                                   |
|---|-----------------------------------|
| Date of submission of the demand        | Date of completion of this report |
| Name and mailing address of the IPEA/JP | Authorized officer                |
| Facsimile No.                           | Telephone No.                     |

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT / JP2004/004096

## Box No. I

## Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

This report is based on translations from the original language into the following language \_\_\_\_\_, which is the language of a translation furnished for the purposes of:

- international search (Rule 12.3 and 23.1(b))
- publication of the international application (Rule 12.4)
- international preliminary examination (Rule 55.2 and/or 55.3)

2. With regard to the elements of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):

the international application as originally filed/furnished

the description:  
pages \_\_\_\_\_ as originally filed/furnished  
pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_  
pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

the claims:  
nos. \_\_\_\_\_ as originally filed/furnished  
nos.\* \_\_\_\_\_ as amended (together with any statement) under Article 19  
nos.\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_  
nos.\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

the drawings:  
sheets \_\_\_\_\_ as originally filed/furnished  
sheets\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_  
sheets\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.

3.  The amendments have resulted in the cancellation of:

- the description, pages \_\_\_\_\_
- the claims, nos. \_\_\_\_\_
- the drawings, sheets/figs \_\_\_\_\_
- the sequence listing (*specify*): \_\_\_\_\_
- any table(s) related to sequence listing (*specify*): \_\_\_\_\_

4.  This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

- the description, pages \_\_\_\_\_
- the claims, nos. \_\_\_\_\_
- the drawings, sheets/figs \_\_\_\_\_
- the sequence listing (*specify*): \_\_\_\_\_
- any table(s) related to sequence listing (*specify*): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

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| International application No.<br><b>PCT/JP2004/004096</b> |
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|                  |  |
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| <b>Box No. V</b> | <b>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</b> |
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**1. Statement**

|                               |                         |     |
|-------------------------------|-------------------------|-----|
| Novelty (N)                   | Claims <u>4-6, 9</u>    | YES |
|                               | <u>1-3, 7, 8, 10-17</u> | NO  |
| Inventive step (IS)           | Claims _____            | YES |
|                               | <u>1-17</u>             | NO  |
| Industrial applicability (IA) | Claims <u>1-17</u>      | YES |
|                               | Claims _____            | NO  |

**2. Citations and explanations (Rule 70.7)**

Document 1: JP 63-127469 A (Sony Corporation), 31 May 1988, page 2, lower right column, lines 12 to 18 & EP 0268454 A2 & US 485217 A

Document 2: JP 63-113824 A (Matsushita Electric Industrial Co., Ltd.), 18 May 1988, entire text (Family: none)

Document 3: JP 5-166187 A (Matsushita Electric Industrial Co., Ltd.), 2 July 1993, entire text (Family: none)

Claims 1, 10, 11, 12 and 15

Document 1 sets forth an optical disk recording method, wherein when adding information following on from existing recorded data, a non-recording area is formed between the existing recorded data and the additional data.

Claims 2, 13 and 16

It is a known technique to express the addresses on an optical disk in a form other than prepitting.

Claims 3, 7, 8, 14 and 17

The length of a non-recording area is merely a

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

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Box No. V      **Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

matter which a person skilled in the art would determine as necessary.

Claims 4 and 5

Documents 2 and 3 indicate that dummy data is recorded at the trailing end part of recording data.

Claims 6 and 9

Documents 2 and 3 indicate that a synchronizing signal is recorded at the front end part of data.